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**Compliance & Policy Review Survey**

**Purpose:**

This survey assesses the effectiveness and adequacy of company policies, ensuring compliance with legal and regulatory requirements. It helps identify gaps and areas requiring updates or improvements.

**Policy Effectiveness**

1. **Do you believe the organization’s current policies are sufficient to ensure compliance with all relevant laws and regulations?
☐** Strongly Disagree
☐ Disagree
☐ Neutral
☐ Agree
☐ Strongly Agree
2. **Are the organization’s policies regularly reviewed and updated to reflect changes in legal and regulatory requirements?
☐** Yes
☐ No
☐ Not Sure
3. **How would you rate the clarity and accessibility of the company’s compliance policies?
☐** Very Poor
☐ Poor
☐ Neutral
☐ Good
☐ Excellent

**Employee Awareness and Training**

1. **Do employees receive sufficient training to understand and adhere to compliance policies?
☐** Yes
☐ No
☐ Somewhat
2. **How confident are you that employees across all departments understand their compliance responsibilities?
☐** Not Confident
☐ Slightly Confident
☐ Neutral
☐ Confident
☐ Very Confident
3. **How often does the company conduct compliance training sessions?
☐** Annually
☐ Biannually
☐ Quarterly
☐ Rarely
☐ Never

**Policy Gaps and Risks**

1. **Are there any areas of compliance where you feel the organization is at risk?**☐ Yes
☐ No
If yes, please specify:
2. **Have there been any recent instances where company policies were not followed?**☐ Yes
☐ No
**If yes, what was the outcome?**
3. **Do you feel there are any policies that need immediate attention or revision?**☐ Yes
☐ No
**If yes, please describe:**

**Legal and Regulatory Updates**

1. **How effective is the organization at monitoring changes in laws and regulations relevant to the business?
☐** Very Ineffective
☐ Ineffective
☐ Neutral
☐ Effective
☐ Very Effective
2. **Are updates to legal or regulatory requirements communicated clearly to all relevant teams?
☐** Yes
☐ No
☐ Sometimes
3. **How confident are you that the company has processes in place to adapt quickly to legal or regulatory changes?
☐** Not Confident
☐ Slightly Confident
☐ Neutral
☐ Confident
☐ Very Confident

**Future Improvements**

1. **What areas of compliance would benefit from additional resources or improvements**
2. **Are there specific policies you believe should be prioritized for review this year?**
3. **What additional steps could the organization take to strengthen compliance and policy enforcement?**

**Instructions for Completion:**

* This survey is intended for legal and compliance team members, managers, or department heads.
* Please provide detailed and honest feedback to help strengthen the organization’s compliance framework.
* Your responses will guide improvements in policies, training, and compliance processes.

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